



A weaker criterion of asymptotic stability[☆]

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ABSTRACT

The classical criterion of asymptotic stability for differential equations requires the existence of a Liapunov function V with negative definite dV/dt . Successive efforts have been made to weaken the negative definiteness of dV/dt to semi-negative definiteness. Recently, it was given an interesting result that, under the boundedness of $d^{m+1}V/dt^{m+1}$, the negative definiteness can be weakened to that $dV/dt \leq 0$ together with that $-(|dV/dt| + |d^2V/dt^2| + \dots + |d^mV/dt^m| + |d^{m+p}V/dt^{m+p}|)$ is negative definite. Unfortunately, its basic lemma is proved to be false by a counter example and cannot support this interesting result. In this paper we re-establish the weak criterion for asymptotic stability with less requirements.

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1. Introduction

Consider the nonautonomous differential system

$$dx/dt = f(t, x), \quad (1.1)$$

where $x \in B_H := \{x \in \mathbb{R}^n : \|x\| \leq H\}$ and $f : [0, +\infty) \times B_H \rightarrow \mathbb{R}^n$ is smooth enough to ensure existence and uniqueness of solutions of the Cauchy problem of system (1.1) and satisfies $f(t, 0) \equiv 0$ for all $t \geq 0$. As shown in [1–3], the classical criterion of asymptotic stability of the zero solution of system (1.1) is that there exists a positive definite function $V(t, x)$ such that dV/dt restricted to orbits of (1.1) is *negative definite*. In applications one sometimes is able to construct a positive definite V with nonpositive dV/dt on orbits of (1.1) but has no way of ensuring the negative definiteness of dV/dt . In such a situation a criterion of asymptotic stability was given by Barbashin and Krasovski [4,5] for autonomous cases and periodic cases. Those results can also be found in [2]. In 1997, Barbashin and Krasovski's criterion was generalized by Ignatyev [6] for almost periodic cases. Later, more progress in improving the criterion of asymptotic stability was also made for various forms of systems [7–12]. One of those interesting results is the weaker criterion given in [12, Theorem 1], which reads that if there is a positive definite C^{m+p} function V with infinitesimal upper bound such that $d^{m+1}V/dt^{m+1}$ is bounded then the condition of negative definite dV/dt can be weakened and replaced by that $dV/dt \leq 0$ together with that $-(|dV/dt| + |d^2V/dt^2| + \dots + |d^mV/dt^m| + |d^{m+p}V/dt^{m+p}|)$ is negative definite (restricted to orbits of (1.1)).

The idea and efforts in [12] is very good, but some subtle procedures are involved in the analysis of convergence, which may cause confusion in understanding and inference. A counter example shows that Lemma 1 in [12], which is basic in proving his latter lemmas and his Theorem 1, is false. Thus it cannot support his result of a weaker criterion for asymptotic stability. It is also worth mentioning that Example 1 in [12] also contains a flaw so as not to demonstrate his result.

Appreciating this interesting result, in this paper we re-establish the weaker criterion for asymptotic stability with less requirements, for instance, lower smoothness and no infinitesimal upper bound.

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2. Counter example

Lemma 1 in [12] says: Consider a C^m function $f : [0, \infty) \rightarrow \mathbb{R}$, if the limit $\lim_{x \rightarrow +\infty} f(x)$ exists, then for every sequence (y_k) with $y_k \rightarrow +\infty$ as $k \rightarrow \infty$ there exists a sequence (x_k) with $x_k - y_k \rightarrow 0$ as $k \rightarrow \infty$ such that

$$\lim_{k \rightarrow \infty} f^{(r)}(x_k) = 0, \quad 1 \leq r \leq m. \quad (2.2)$$

For a counter example, consider a C^∞ function $f(x) = \cos(x^2)/x$, $x \in (0, \infty)$. It is easy to see that $\lim_{x \rightarrow +\infty} f(x) = 0$. Noting that

$$f'(x) = -2 \sin(x^2) - \frac{1}{x^2} \cos(x^2), \quad (2.3)$$

$$f''(x) = -4x \cos(x^2) + \frac{2}{x} \sin(x^2) + \frac{2}{x^3} \cos(x^2), \quad (2.4)$$

we see that the sequence $y_n := (n\pi)^{1/2}$, $n = 1, 2, \dots$, satisfies that $y_n \rightarrow +\infty$ as $n \rightarrow \infty$ and $\lim_{n \rightarrow \infty} f'(y_n) = -\lim_{n \rightarrow \infty} \cos(n\pi)/n\pi = 0$, but $\lim_{n \rightarrow \infty} f''(y_n) = \{-4(n\pi)^{1/2} + 2/(n\pi)^{3/2}\} \cos(n\pi)$ is divergent. We claim that every sequence (x_n) satisfying $x_n - y_n \rightarrow 0$ as $n \rightarrow \infty$ contains a subsequence (x_{n_k}) such that either $f'(x_{n_k})$ or $f''(x_{n_k})$ does not tend to 0, a contradiction to (2.2).

For a reductio ad absurdum, assume that both

$$\lim_{n \rightarrow \infty} f'(x_n) = 0, \quad \lim_{n \rightarrow \infty} f''(x_n) = 0. \quad (2.5)$$

It is clear that $x_n \rightarrow +\infty$ as $n \rightarrow \infty$. For an arbitrary small $0 < \varepsilon < 1/4$, there is an integer $N > 0$ such that

$$x_n > 1/\varepsilon^{1/2}, \quad \forall n > N. \quad (2.6)$$

From the first limit in (2.5) we can choose N large enough such that $|f'(x_n)| < \varepsilon$ for all $n > N$. It follows from (2.3) that

$$|\sin(x_n^2)| < \frac{1}{2} \left(\varepsilon + \left| \frac{1}{x_n^2} \cos(x_n^2) \right| \right) < \varepsilon. \quad (2.7)$$

Thus from (2.4),

$$\begin{aligned} |f''(x_n)| &\geq |4x_n \cos(x_n^2)| - \left| \frac{2}{x_n} \sin(x_n^2) \right| - \left| \frac{2}{x_n^3} \cos(x_n^2) \right| \\ &\geq 8[1 - \sin^2(x_n^2)] - 2\varepsilon^{3/2} - \frac{2}{|x_n|^3} \\ &> 8(1 - \varepsilon^2) - 1 - \varepsilon > 1, \end{aligned} \quad (2.8)$$

where (2.6) and (2.7) are used. Clearly, (2.8) contradicts the second limit in (2.5). The claimed assertion implies that Lemma 1 in [12] does not hold for $m \geq 2$.

3. Corrected lemmas

Although Lemma 1 in [12] does not hold for $m \geq 2$, as indicated above, we can prove it rigorously for $m = 1$.

Lemma 1. If a C^1 function $f : [0, \infty) \rightarrow \mathbb{R}$ satisfies that the limit $\lim_{x \rightarrow +\infty} f(x)$ exists, then for every sequence (y_k) with $y_k \rightarrow +\infty$ as $k \rightarrow \infty$ there exists a sequence (x_k) with $x_k - y_k \rightarrow 0$ as $k \rightarrow \infty$ such that $\lim_{k \rightarrow \infty} f'(x_k) = 0$.

Proof. We first claim that for arbitrary constants $a > 0$ and $\delta > 0$ there exists a large $X = X(a, \delta) > 0$ such that for every $\tilde{y} > X$ there is $\tilde{x} \in [\tilde{y}, \tilde{y} + \delta]$ such that

$$|f'(\tilde{x})| < a. \quad (3.9)$$

Assume that this claim does not hold, i.e., there exist $\tilde{a} > 0$ and $\tilde{\delta} > 0$ such that for arbitrary $X' > 0$ there exists a $\tilde{y}_1 > X'$ which satisfies that

$$|f'(x)| \geq \tilde{a}, \quad \forall x \in [\tilde{y}_1, \tilde{y}_1 + \tilde{\delta}]. \quad (3.10)$$

By the continuity of f' , it implies that either $f'(x) \geq \tilde{a}$ for all $x \in [\tilde{y}_1, \tilde{y}_1 + \tilde{\delta}]$ or $f'(x) \leq -\tilde{a}$ for all $x \in [\tilde{y}_1, \tilde{y}_1 + \tilde{\delta}]$. Without loss of generality, we only consider the first case. Thus we can find a sequence (\tilde{y}_k) such that $\tilde{y}_{k+1} > \tilde{y}_k + 2\tilde{\delta}$ and $f'(x) \geq \tilde{a} \forall x \in [\tilde{y}_k, \tilde{y}_k + \tilde{\delta}]$. It follows that

$$f(\tilde{y}_k + \tilde{\delta}/2) - f(\tilde{y}_k + \tilde{\delta}/4) = \int_{\tilde{y}_k + \tilde{\delta}/4}^{\tilde{y}_k + \tilde{\delta}/2} f'(\xi) d\xi \geq \tilde{a}\tilde{\delta}/4,$$

a contradiction to the existence of the limit $\lim_{x \rightarrow +\infty} f(x)$. Therefore, the claimed result of (3.9) is proved.

Consider $a_\ell = 1/2^\ell$ and $\delta_\ell = 1/2^\ell$, $\ell = 1, 2, \dots$. By the claimed result of (3.9), for each ℓ , there exists a sufficiently large $X_\ell = X(a_\ell, \delta_\ell) > 0$ such that for each $\tilde{y} > X_\ell$ there exists an $\tilde{x} := \tilde{x}(a_\ell, \delta_\ell, \tilde{y}) \in [\tilde{y}, \tilde{y} + \delta_\ell]$ which satisfies that

$$|f'(\tilde{x})| < 1/2^\ell. \quad (3.11)$$

Obviously,

$$|\tilde{x} - \tilde{y}| < 1/2^\ell. \quad (3.12)$$

Consider an arbitrary sequence (y_k) with the limit $y_k \rightarrow +\infty$ as $k \rightarrow \infty$. Corresponding to each X_ℓ , shown as above, there exists an integer $K_\ell > 0$ such that $y_k > X_\ell$ for all $k > K_\ell$. Clearly, we can choose the sequence of (K_ℓ) appropriately such that $K_{\ell+1} > K_\ell$. Now we are ready to construct a sequence (x_k) as required in the Proposition:

Step1 When $1 \leq k < K_1$, let $x_k = y_k$.

Step2 When $K_1 \leq k < K_2$, let $x_k = \tilde{x}(1/2, 1/2, y_k)$. By (3.11) and (3.12),

$$|x_k - y_k| < 1/2 \quad \text{and} \quad |f'(x_k)| < 1/2, \quad \forall K_1 \leq k < K_2.$$

Step3 When $K_\ell \leq k < K_{\ell+1}$ for some $\ell = 2, 3, \dots$, let $x_k = \tilde{x}(1/2^\ell, 1/2^\ell, y_k)$. By (3.11) and (3.12),

$$|x_k - y_k| < 1/2^\ell \quad \text{and} \quad |f'(x_k)| < 1/2^\ell, \quad \forall K_\ell \leq k < K_{\ell+1}.$$

In this manner a sequence (x_k) is constructed, which satisfies that $x_k - y_k \rightarrow 0$ as $k \rightarrow \infty$ and that $\lim_{k \rightarrow \infty} f'(x_k) = 0$. This completes the proof. \square

Our proof to this Lemma 1 exhibits clearly an idea to prove a general result for C^m ($m \geq 2$) functions. Some steps in the proof can be employed in the proof for C^m ($m \geq 2$) functions. The following lemma looks similar to Lemma 1 in [12] but a significant difference is that our sequence $(x_k^{(r)})$ depends on r .

Lemma 2. If a C^m ($m \geq 2$) function $f : [0, \infty) \rightarrow \mathbb{R}$ satisfies that the limit $\lim_{x \rightarrow +\infty} f(x)$ exists, then for every sequence (y_k) with $y_k \rightarrow +\infty$ as $k \rightarrow \infty$ and for each fixed r ($1 \leq r \leq m$) there exists a sequence $(x_k^{(r)})$ with $x_k^{(r)} - y_k \rightarrow 0$ as $k \rightarrow \infty$ such that

$$\lim_{k \rightarrow \infty} f^{(r)}(x_k^{(r)}) = 0. \quad (3.13)$$

Proof. We first claim that for given r ($1 \leq r \leq m$) and for arbitrary constants $a > 0$ and $\delta > 0$ there exists a sufficiently large $X = X(r, a, \delta) > 0$ such that for every $\tilde{y} > X$ there is $\tilde{x}^{(r)} \in [\tilde{y}, \tilde{y} + \delta]$ such that

$$|f^{(r)}(\tilde{x}^{(r)})| < a. \quad (3.14)$$

The case of $r = 1$ is proved by (3.9) in the proof of Lemma 1. Suppose that this claim holds in the case of $r - 1$ ($2 \leq r \leq m$) but is not true in the case of r . Then there exist constants $\tilde{a} > 0, \tilde{\delta} > 0$ such that for arbitrary $X' > 0$ there exists a $\tilde{y}_1^{(r)} > X'$ which satisfies that $|f^{(r)}(x)| \geq \tilde{a} \forall x \in [\tilde{y}_1^{(r)}, \tilde{y}_1^{(r)} + \tilde{\delta}]$. Similarly to the statement below (3.10), there exists a sequence $(\tilde{y}_k^{(r)})$ such that $\tilde{y}_{k+1}^{(r)} > \tilde{y}_k^{(r)} + 2\tilde{\delta}$ and, without loss of generality, $f^{(r)}(x) \geq \tilde{a} \forall x \in [\tilde{y}_k^{(r)}, \tilde{y}_k^{(r)} + \tilde{\delta}]$. It follows that

$$\begin{aligned} f^{(r-1)}(\tilde{y}_k^{(r)} + t) &= f^{(r-1)}\left(\tilde{y}_k^{(r)} + \frac{\tilde{\delta}}{2}\right) - \int_{\tilde{y}_k^{(r)} + t}^{\tilde{y}_k^{(r)} + \tilde{\delta}/2} f^{(r)}(\xi) d\xi \\ &\leq f^{(r-1)}\left(\tilde{y}_k^{(r)} + \frac{\tilde{\delta}}{2}\right) - \tilde{a}\left(\frac{\tilde{\delta}}{2} - t\right), \quad t \in \left[0, \frac{\tilde{\delta}}{2}\right], \end{aligned} \quad (3.15)$$

$$\begin{aligned} f^{(r-1)}(\tilde{y}_k^{(r)} + t) &= f^{(r-1)}\left(\tilde{y}_k^{(r)} + \frac{\tilde{\delta}}{2}\right) + \int_{\tilde{y}_k^{(r)} + \tilde{\delta}/2}^{\tilde{y}_k^{(r)} + t} f^{(r)}(\xi) d\xi \\ &\geq f^{(r-1)}\left(\tilde{y}_k^{(r)} + \frac{\tilde{\delta}}{2}\right) + \tilde{a}\left(t - \frac{\tilde{\delta}}{2}\right), \quad t \in \left[\frac{\tilde{\delta}}{2}, \tilde{\delta}\right]. \end{aligned} \quad (3.16)$$

Inequalities (3.15) and (3.16) imply respectively that

$$f^{(r-1)}(\tilde{y}_k^{(r)} + t) \leq -\tilde{a}\tilde{\delta}/4, \quad \forall t \in [0, \tilde{\delta}/4], \quad (3.17)$$

if $f^{(r-1)}(\tilde{y}_k^{(r)} + \tilde{\delta}/2) \leq 0$ and that

$$f^{(r-1)}(\tilde{y}_k^{(r)} + t) \geq \tilde{a}\tilde{\delta}/4, \quad \forall t \in [3\tilde{\delta}/4, \tilde{\delta}], \quad (3.18)$$

if $f^{(r-1)}(\tilde{y}_k^{(r)} + \tilde{\delta}/2) \geq 0$. Since $\tilde{y}_k^{(r)} \rightarrow +\infty$ as $t \rightarrow \infty$, for arbitrary large $X' > 0$ there is an integer $N' > 0$ such that $\tilde{y}_k^{(r)} > X'$ for all $k > N'$. Obviously, we also have $\tilde{y}_k^{(r)} + 3\tilde{\delta}/4 > X'$. Thus, in the case that $f^{(r-1)}(\tilde{y}_k^{(r)} + \tilde{\delta}/2) \leq 0$ we choose $\tilde{z}_k^{(r)} := \tilde{y}_k^{(r)}$. From (3.17) we get

$$|f^{(r-1)}(x)| \geq \tilde{a}\tilde{\delta}/4, \quad \forall x \in [\tilde{z}_k^{(r)}, \tilde{z}_k^{(r)} + \tilde{\delta}/4]. \quad (3.19)$$

In the other case, i.e., $f^{(r-1)}(\tilde{y}_k^{(r)} + \tilde{\delta}/2) > 0$, we choose $\tilde{z}_k^{(r)} := \tilde{y}_k^{(r)} + 3\tilde{\delta}/4$. From (3.18) we get the same as in (3.19). This contradicts the inductive assumption for (3.14) in the case of $r - 1$. Therefore, the claimed (3.14) is proved.

Similarly to the second part of the proof of Lemma 1, for every sequence (y_k) with $y_k \rightarrow +\infty$ as $k \rightarrow \infty$ and for each r ($2 \leq r \leq m$) we can construct a sequence $(x_k^{(r)})$ with $x_k^{(r)} - y_k \rightarrow 0$ as $k \rightarrow \infty$ such that $\lim_{k \rightarrow \infty} f^{(r)}(x_k^{(r)}) = 0$. Thus (3.13) is proved and the proof is completed. \square

By Lemma 2 we give the following result.

Lemma 3. If a C^{m+1} ($m \geq 1$) function $f : [0, \infty) \rightarrow \mathbb{R}$ satisfies

(i) $f^{(m)}(x)$ is uniformly continuous on $[0, \infty)$, and

(ii) the limit $\lim_{x \rightarrow +\infty} f(x)$ exists,

then there exists a sequence (t_k) with $t_k \rightarrow +\infty$ as $k \rightarrow \infty$ such that

$$\lim_{k \rightarrow \infty} \{|f'(t_k)| + |f''(t_k)| + \cdots + |f^{(m)}(t_k)| + |f^{(m+1)}(t_k)|\} = 0. \quad (3.20)$$

Proof. We first claim

$$\lim_{x \rightarrow +\infty} f^{(m)}(x) = 0. \quad (3.21)$$

Suppose that this is not true. Then there exist a constant $c > 0$ and a strictly increasing sequence (y_k^*) such that $y_k^* \rightarrow +\infty$ as $k \rightarrow \infty$ and $|f^{(m)}(y_k^*)| > c$, $k = 1, 2, \dots$. By condition (i), there exists a constant $\delta > 0$ such that $|f^{(m)}(y_k^*) - f^{(m)}(x)| < c/2$ if $|x - y_k^*| < \delta$ for any $k = 1, 2, \dots$, implying that $|f^{(m)}(x)| > c/2$ for all $x \in [y_k^* - \delta/2, y_k^* + \delta/2]$ and all $k = 1, 2, \dots$. This is a contradiction to (3.14), given in the proof of Lemma 2, by condition (ii). The claimed result of (3.21) is proved.

The result of (3.21) implies the boundedness of $f^{(m)}(x)$ on $[0, \infty)$. It follows that $f^{(m-1)}(x)$ is uniformly continuous on $[0, \infty)$. By condition (ii) we similarly know that $\lim_{x \rightarrow +\infty} f^{(m-1)}(x) = 0$, as proved for (3.21). Then we see recursively that

$$\lim_{x \rightarrow +\infty} f^{(r)}(x) = 0, \quad 1 \leq r \leq m. \quad (3.22)$$

On the other hand, by Lemma 2, there exists a sequence (t_k) with $t_k \rightarrow +\infty$ as $k \rightarrow \infty$ such that

$$\lim_{k \rightarrow \infty} f^{(m+1)}(t_k) = 0. \quad (3.23)$$

From (3.22) and (3.23) we easily get (3.20). \square

If we require higher smoothness, supposing $f \in C^{m+p}$ ($p > 1$), as in [12], under the same conditions (i) and (ii) as in Lemma 3, we conclude by Lemma 1 that for each $1 < r \leq p$ there exists a sequence $(t_k^{(r)})$ with $t_k^{(r)} \rightarrow +\infty$ as $k \rightarrow \infty$ such that $\lim_{k \rightarrow \infty} f^{(m+r)}(t_k^{(r)}) = 0$. Similarly to the proof of Lemma 3 we can get

$$\lim_{k \rightarrow \infty} \{|f'(t_k^{(r)})| + |f''(t_k^{(r)})| + \cdots + |f^{(m)}(t_k^{(r)})| + |f^{(m+r)}(t_k^{(r)})|\} = 0 \quad (3.24)$$

for each $1 < r \leq p$. For $r = p$ it implies Lemma 2 in [12].

4. Weak criterion

Having those lemmas in Section 3, we can give the following weak criterion for asymptotic stability. As indicated in [2, 9], Hahn's function is a class of continuous and monotonically increasing functions $\phi : [0, +\infty) \rightarrow [0, +\infty)$ which satisfy that $\phi(0) = 0$.

Theorem 1. Consider differential system (1.1), where $x \in B_H$ and $f : [0, +\infty) \times B_H \rightarrow \mathbb{R}^n$ is C^m ($m \geq 1$) and satisfies $f(t, 0) \equiv 0$ for all $t \geq 0$. If there exists a C^{m+1} function $V(t, x) : [0, +\infty) \times B_H \rightarrow \mathbb{R}$ such that the following conditions are all fulfilled on the set $[0, +\infty) \times B_H$:

- (i) $V(t, 0) \equiv 0$ and $\phi(\|x\|) \leq V(t, x)$ for a Hahn's function ϕ ,
- (ii) $dV/dt \leq 0$, where $dV/dt = \partial V/\partial t + \partial V/\partial x \cdot f(t, x)$,

(iii) $d^{m+1}V/dt^{m+1}$ is bounded on the set $[0, +\infty) \times B_H$, where

$$\frac{d^{r+1}V}{dt^{r+1}} = \frac{\partial(d^r V/dt^r)}{\partial t} + \frac{\partial(d^r V/dt^r)}{\partial x} \cdot f(t, x), \quad r = 1, 2, \dots, m,$$

(iv) $U(t, x) := -(|dV/dt| + |d^2V/dt^2| + \dots + |d^{m+1}V/dt^{m+1}|) \leq -\psi(\|x\|)$ for a Hahn's function ψ .

then the solution $x = 0$ of differential system (1.1) is asymptotically stable.

Proof. From conditions (i) and (ii) it follows that the zero solution $x = 0$ of system (1.1) is stable by Theorem X.3.1 in [1] or by Theorem II.6.2 in [3]. Therefore for any $t_0 > 0$ and $h \in (0, H)$ there exists $\delta = \delta(t_0, h) > 0$ such that a solution $x(t) = x(t, t_0, x_0)$ of system (1.1) satisfies $\|x(t)\| < h$ for all $t > t_0$ if $\|x(t_0)\| < \delta$. In the sequel, we claim that every solution $x(t)$ with $\|x(t_0)\| < \delta$ satisfies $\lim_{t \rightarrow +\infty} x(t) = 0$.

Let $v(t) := V(t, x(t))$. By condition (i) we see that $v(t) \geq 0$. From condition (ii) we know that $v(t)$ is monotonically nonincreasing. Hence the limit $\lim_{t \rightarrow +\infty} v(t)$ exists. From condition (iii) we know that $v^{(m+1)}(t)$ is bounded, implying that $v^{(m)}(t)$ is uniformly continuous. By Lemma 3, there exists a sequence (t_k) with $t_k \rightarrow +\infty$ as $k \rightarrow \infty$ such that

$$\lim_{k \rightarrow \infty} \{ |v'(t_k)| + |v''(t_k)| + \dots + |v^{(m)}(t_k)| + |v^{(m+1)}(t_k)| \} = 0. \quad (4.25)$$

Note that $U(t, x(t)) = -\{ |v'(t)| + |v''(t)| + \dots + |v^{(m)}(t)| + |v^{(m+1)}(t)| \}$. It follows from (4.25) that $\lim_{k \rightarrow \infty} U(t_k, x(t_k)) = 0$, implying by condition (iv) that

$$\lim_{k \rightarrow \infty} x(t_k) = 0, \quad (4.26)$$

Now we claim that

$$\lim_{t \rightarrow +\infty} x(t) = 0. \quad (4.27)$$

For an absurdity we assume that there exist a constant $0 < c < h$ and a sequence (τ_ℓ) with $\tau_\ell \rightarrow +\infty$ as $\ell \rightarrow \infty$ such that $\|x(\tau_\ell)\| \geq c \forall \ell = 1, 2, \dots$. Then, by condition (i),

$$v := \inf_{\ell} V(\tau_\ell, x(\tau_\ell)) \geq \inf_{\ell} \phi(\|x(\tau_\ell)\|) > 0. \quad (4.28)$$

On the other hand, by (4.26) there is an integer k' such that $V(t_{k'}, x(t_{k'})) < v/2$ since V is continuous and $V(t, 0) \equiv 0$. Thus, by condition (ii), $V(t, x(t)) < v/2$ for all $t > t_{k'}$. Clearly, $\tau_\ell > t_{k'}$ for sufficiently large ℓ , implying that $V(\tau_\ell, x(\tau_\ell)) < v/2$, which contradicts the definition of v in (4.28). Therefore, (4.27) is proved and we see that the zero solution of system (1.1) is asymptotically stable. \square

Corresponding to (3.24), we can obtain the following result with higher smoothness.

Corollary 1. Consider differential system (1.1), where $x \in B_H := \{x \in \mathbb{R}^n : \|x\| \leq H\}$ and $f : [0, +\infty) \times B_H \rightarrow \mathbb{R}^n$ is C^{m+p-1} ($p \geq 1$) and satisfies $f(t, 0) \equiv 0$ for all $t \geq 0$. If there exists a C^{m+p} function $V(t, x) : [0, +\infty) \times B_H \rightarrow \mathbb{R}$ such that all conditions in Theorem 1 are satisfied but condition (iv) is replaced by

(iv)' $U(t, x) := -(|dV/dt| + |d^2V/dt^2| + \dots + |d^mV/dt^m| + |d^{m+r}V/dt^{m+r}|) \leq -\psi(\|x\|)$, $1 < r \leq p$, for a Hahn's function ψ ,

then the zero solution of system (1.1) is asymptotically stable.

The proof follows the proof of Theorem 1 similarly, where we only need to change (4.25) into $\lim_{k \rightarrow \infty} \{ |v'(t_k^{(r)})| + |v''(t_k^{(r)})| + \dots + |v^{(m)}(t_k^{(r)})| + |v^{(m+r)}(t_k^{(r)})| \} = 0$ and we omit its details. Unlike Theorem 1 in [12], our $V(t, x)$ does not need an infinitely small upper bound, so Theorem 1 in [12] is a special case of our Corollary 1.

5. Example

In Example 1 of [12] the system

$$\frac{dx}{dt} = -\sin y - h(t)x, \quad \frac{dy}{dt} = x,$$

where $h(t) := 2 + \sin(\frac{\pi}{2}\sqrt{t}) - \sin(\frac{\pi}{2}t)$, was considered to demonstrate Theorem 1 in [12]. The author of [12] asserts that there exists a Hahn's function $c \in K$ such that $-(|dV/dt| + |d^2V/dt^2| + |d^3V/dt^3| + |d^4V/dt^4|) \leq -c(x^2 + y^2)$. However, when $x = 0$ and $t = (4n - 1)^2$, $n = 1, 2, \dots$, one can check that $h(t) = h'(t) = 0$ and therefore $-(|dV/dt| + |d^2V/dt^2| + |d^3V/dt^3| + |d^4V/dt^4|) = 0 \forall y \neq 0$. This flaw implies that his Theorem 1 fails to be demonstrated by his example.

Now we consider the system

$$\frac{dx}{dt} = y - (1 - \cos t)h(t)x^3, \quad \frac{dy}{dt} = -x - (1 - \cos t)h(t)y^3, \quad (5.29)$$

where $h(t) := (\tanh t + \operatorname{sech} t)/2$. Clearly, the function $V(t, x, y) = (x^2 + y^2)/2$ satisfies that $V(t, 0, 0) \equiv 0$ and $V(t, x, y) > 0$ for all $(x, y) \neq (0, 0)$. Moreover, $dV/dt = -(1 - \cos t)h(t)(x^4 + y^4) \leq 0$, implying that the zero solution of system (5.29) is stable. One can calculate

$$\begin{aligned} \frac{d^2V}{dt^2} &= -h \sin t (x^4 + y^4) - (1 - \cos t) \mathcal{L}(t, x, y), \\ \frac{d^3V}{dt^3} &= -h \cos t (x^4 + y^4) - 2 \sin t \mathcal{L}(t, x, y) - (1 - \cos t) \frac{d}{dt} \mathcal{L}(t, x, y), \end{aligned}$$

where $\mathcal{L}(t, x, y) := 4xyh(x^2 - y^2) - 4h(1 - \cos t)(x^6 + y^6) + h'(x^4 + y^4)$. For $(t, x, y) \in [0, +\infty) \times B_H$, where $H < 1$ is a sufficiently small positive number,

$$\begin{aligned} |\mathcal{L}(t, x, y)| &\leq |4xyh(x^2 - y^2)| + |4h(1 - \cos t)(x^6 + y^6)| + |h'(x^4 + y^4)| \\ &\leq 2(x^2 + y^2)|x^2 - y^2| + 10(x^4 + y^4) \\ &\leq 12(x^4 + y^4). \end{aligned}$$

Similarly, we get $|d^3V/dt^3| < 800(x^4 + y^4)$, which means that d^3V/dt^3 is bounded on the set $[0, +\infty) \times B_H$, as required by condition (iii) in Theorem 1.

Let ε sufficiently small positive number ($\varepsilon = 10^{-3}$). It is easy to see that

$$\begin{aligned} \left| \frac{d^3V}{dt^3} \right| &= | -h \cos t (x^4 + y^4) - 2 \sin t \mathcal{L}(t, x, y) - (1 - \cos t) \frac{d}{dt} \mathcal{L}(t, x, y) | \\ &\geq |h \cos t (x^4 + y^4)| - 2|\sin t \mathcal{L}(t, x, y)| - |(1 - \cos t) \frac{d}{dt} \mathcal{L}(t, x, y)| \\ &\geq \frac{1}{4}(x^4 + y^4) \geq \frac{1}{8}(x^2 + y^2)^2 \end{aligned}$$

for all $t \in [2k\pi - \varepsilon, 2k\pi + \varepsilon]$, $k = 0, 1, \dots$. On the other hand,

$$\left| \frac{dV}{dt} \right| = (1 - \cos t)h(x^4 + y^4) > \left(\frac{1 - \cos \varepsilon}{4} \right) (x^4 + y^4) \geq \left(\frac{1 - \cos \varepsilon}{8} \right) (x^2 + y^2)^2$$

for all $t \in (2k\pi + \varepsilon, 2(k+1)\pi - \varepsilon)$, $k = 0, 1, \dots$. So, for $t > 0$ we obtain

$$\left| \frac{dV}{dt} \right| + \left| \frac{d^2V}{dt^2} \right| + \left| \frac{d^3V}{dt^3} \right| \geq \left| \frac{d^3V}{dt^3} \right| + \left| \frac{dV}{dt} \right| \geq \left(\frac{1 - \cos \varepsilon}{8} \right) (x^2 + y^2)^2 = \psi((x^2 + y^2)^{\frac{1}{2}}),$$

where $\psi(\xi) := (1 - \cos \varepsilon)\xi^4/8$ is a Hahn's function. Thus the condition (iv) in our Theorem 1 is fulfilled. It implies that the zero solution of system (5.29) is asymptotically stable.

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